

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

03/11/2003

John S. Beulick Armstrong Teasdale LLP One Metropolitan Square, Suite 2600 St. Louis, MO 63102 EXAMINER

NGUYEN, NINH H

ART UNIT CLASS-SUBCLASS

3745 415-001000

DATE MAILED: 03/11/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/998,947	11/15/2001	Andrew Charles Powis	13DV14224	5940

TITLE OF INVENTION: METHODS AND APPARATUS FOR COOLING GAS TURBINE NOZZLES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	06/11/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

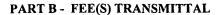
B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status.
 See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



# Complete and send this form, together with applicable fee(s), to: Mail Output Box ISSUE FEE Commissioner for Patents Washington, D.C. 20231 Fax (703)746-4000

appropriate. All further corr	espondence including the elow or directed otherwis	Patent, advance orders	EE and PUBLICA	of maintenance fee	equired). Blocks 1 through 4 shes will be mailed to the current ess; and/or (b) indicating a sepa	correspondence address as
CORRENT CORRESPONDENCE 759 John S. Beulick	ADDRESS (Note: Legibly mark-	up with any corrections or use B	llock I)	Fee(s) Transmit	te of mailing can only be used for tal. This certificate cannot be apers. Each additional paper, so must have its own certificate of m	be used for any other uch as an assignment or
Armstrong Teasdale One Metropolitan S St. Louis, MO 6310	quare, Suite 2600			I hereby certify United States Pos envelope address	Certificate of Mailing or Trans that this Fee(s) Transmittal is stal Service with sufficient postage ed to the Box Issue Fee address USPTO, on the date indicated be	being deposited with the ge for first class mail in an above, or being facsimile
			ſ			(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE	FIRS	T NAMED INVENT	OR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/998,947	11/15/2001	Ar	drew Charles Pov	ris	13DV14224	5940
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nonprovisional	NO	\$1300		\$0	\$1200	00/11/2003
EXAMIN		ART UNIT	CLASS-SUBCL	ASS		
NGUYEN, 1	NINH H	3745	415-00100	0		
Address form PTO/SB/12  "Fee Address" indication	nce address (or Change of	Correspondence ation form	the names of up or agents OR, single firm (ha attorney or age registered paten	on the patent from to 3 registered palternatively, (2) wing as a member on the name attorneys or ager e will be printed.	atent attorneys the name of a er a registered es of up to 2	
3. ASSIGNEE NAME AND PLEASE NOTE: Unless ar been previously submitted (A) NAME OF ASSIGNEE	n assignee is identified be to the USPTO or is being	low, no assignee data wi submitted under separate	ill appear on the p cover. Completio	• • •	assignee data is only appropriate OT a substitute for filing an assig COUNTRY)	e when an assignment has nment.
Please check the appropriate	assignee category or categ	ories (will not be printed	on the patent)	individual	☐ corporation or other private gr	oup entity    government
4a. The following fee(s) are of	enclosed:	4b. Pay	ment of Fee(s):		·	
☐ Issue Fee				of the fee(s) is end		
a ruoneation rec		ment by credit card. Form PTO-2038 is attached.  Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to				
	•	Deposi	t Account Number	·	(enclose an extra copy of this full paid issue fee to the application	form).
(Authorized Signature)		(Date)				
NOTE; The Issue Fee and other than the applicant; a interest as shown by the rec	a registered attorney or a	gent; or the assignee o	r other party in			
This collection of informal obtain or retain a benefit happlication. Confidentiality estimated to take 12 minut completed application for case. Any comments on suggestions for reducing the Patent and Trademark Offi NOT SEND FEES OR Commissioner for Patents,	by the public which is to is governed by 35 U.S.C. es to complete, including in to the USPTO. Time we the amount of time you is burden, should be sen ce, U.S. Department of C COMPLETED FORMS Washington, DC 20231.	file (and by the USPIC 122 and 37 CFR 1.14. I gathering, preparing, and ill vary depending upo require to complete the to the Chief Information commerce, Washington, I TO THIS ADDRES	O to process) an This collection is d submitting the n the individual his form and/or on Officer, U.S. D.C. 20231. DO S. SEND TO:			
Under the Paperwork Rec collection of information ur	nless it displays a valid ON	persons are required to 1B control number.	o respond to a			



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09/998,947	11/15/2001	Andrew Charles Powis	13DV14224 5940	
75	90 03/11/2003		EXAMIN	ER
John S. Beulick			NGUYEN, N	NINH H
Armstrong Teasdal One Metropolitan S		·	ART UNIT	PAPER NUMBER
St. Louis, MO 6310	02		3745	
		D.	ATE MAILED: 03/11/2003	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



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7:	590 03/11/2003		EXAMIN	ER
John S. Beulick Armstrong Teasdal	a I I D		NGUYEN, N	NNH H
One Metropolitan S			ART UNIT	PAPER NUMBER
St. Louis, MO 6310			3745	
UNITED STATES			DATE MAILED: 03/11/2003	

## Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)	
	69/998,947	POWIS ET AL.	
Notice of Allowability	Examiner	Art Unit	<u>-</u>
	Ninh H. Nguyen	3745	
The MAILING DATE of this communication ap All claims being allowable, PROSECUTION ON THE MERITS herewith (or previously mailed), a Notice of Allowance (PTOL-8 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3  1.  This communication is responsive to the amendment date.	IS (OR REMAINS) CLOSED in the second of the	n this application. If not included unication will be mailed in due cours	se. THIS
2.  The allowed claim(s) is/are 1-5,7-17 and 19.	neu 0 1/22/05.		
3. The drawings filed on <u>15 November 2001</u> are accepted	by the Examiner.		
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority to</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> </ul>	under 35 U.S.C. § 119(a)-(d) o	or (f).	
<ol> <li>Certified copies of the priority documents had</li> </ol>	ave been received.		
<ol><li>Certified copies of the priority documents had</li></ol>	ave been received in Applicati	on No	
3. Copies of the certified copies of the priority International Bureau (PCT Rule 17.2(a)).		ed in this national stage application f	from the
* Certified copies not received:  5. Acknowledgment is made of a claim for domestic priority	runder 35 H.S.C. & 119(e) (to	a provisional application)	
(a) The translation of the foreign language provisiona			
6. Acknowledgment is made of a claim for domestic priority	• •	•	
Applicant has THREE MONTHS FROM THE "MAILING DATE" below. Failure to timely comply will result in ABANDONMENT  7.   A SUBSTITUTE OATH OR DECLARATION must be su INFORMAL PATENT APPLICATION (PTO-152) which gives re-	of this application. THIS THI bmitted. Note the attached EX	REE-MONTH PERIOD IS NOT EXT  (AMINER'S AMENDMENT or NOTIC	ENDABLE
8.  CORRECTED DRAWINGS must be submitted.  (a) including changes required by the Notice of Draftsp	person's Patent Drawing Revie	ew ( PTO-948) attached	
1)  hereto or 2)  to Paper No	· ·	,	
(b) including changes required by the proposed drawing	g correction filed, whi	ch has been approved by the Exam	iner.
(c) including changes required by the attached Examin	er's Amendment / Comment	or in the Office action of Paper No	·
Identifying indicia such as the application number (see 37 CFF of each sheet. The drawings should be filed as a separate page	R 1.84(c)) should be written on per with a transmittal letter add	the drawings in the top margin (not th ressed to the Official Draftsperson.	e back)
9.   DEPOSIT OF and/or INFORMATION about the department regarding REQUIREMENT FOR	posit of BIOLOGICAL MAT R THE DEPOSIT OF BIOLOG	ERIAL must be submitted. Note ICAL MATERIAL.	the
Attachment(s)			
<ul> <li>1 ☐ Notice of References Cited (PTO-892)</li> <li>3 ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>5 ☐ Information Disclosure Statements (PTO-1449), Paper No.</li> </ul>	4☐ Intervie	of Informal Patent Application (PTO- w Summary (PTO-413), Paper No er's Amendment/Comment	-